Job Description

Title: AML Executive

Location: Dublin, Ireland

Reporting to: AML Team Lead

Contract Type: Full time, Permanent.

Cantor Fitzgerald

Cantor Fitzgerald Ireland is part of leading global financial services firm Cantor Fitzgerald. Cantor Fitzgerald has offices and trading desks in all major financial service centres throughout the world. We specialise in equities, Investment Banking, Real Estate, Fixed Income and Currencies. Cantor Fitzgerald's affiliate businesses include: BGC Partners, Inc. (BGC), Newmark (NMRK), and GFI Group (GFI). Cantor Fitzgerald Ireland provides a full suite of investment services, primarily wealth management, fund management, debt capital markets and corporate finance. An exciting opportunity has arisen for an AML Executive to work with our team in Dublin.

Role Summary:

The primary responsibility of the role will be to maintain the integrity of AML documentation for Cantor Fitzgerald Ireland's client base. This role works closely with our second line Financial Crime team and would suit someone who is passionate about progressing within the AML/CTF function.

Responsibilities

- Oversee the operational implementation of the firm's AML policies across all accounts, including transaction monitoring, Daily PEP, Sanction and Adverse Media Screening documentation review, and due diligence processes.
- Manage the daily pre-notification of AML expiry to clients within scope.
- Conduct thorough reviews and validations of all AML documentation received.
- Remediate client accounts and update systems to reflect current AML status.
- Collaborate closely with the Client Onboarding and Client Services teams, providing guidance on evolving AML requirements.
- Serve as a point of contact and subject matter expert for AML metrics and controls across the firm.
- Respond to client inquiries regarding AML/KYC procedures and due diligence, including those related to correspondence issued by the AML team.
- Ensure that processes and procedures within the Client Services Department are updated in line with ongoing regulatory and policy changes from Compliance and Financial Crime teams.
- Adhere to Key Performance Indicators (KPIs) set by the Onboarding & AML Team Lead, with daily reporting on progress.
- Proactively identify and assist in the implementation of improvements to operational processes within the team.

- Undertake additional ad hoc tasks and project work as required.

Requirements:

- Minimum 2 years' experience and 1 years in a similar role and environment.
- Experience and knowledge of AML/Due Diligence requirements including relevant legislation.

AML Executive - Cantor Fitzgerald/BGC Careers