

Job Description

Title:	Senior Compliance Manager
Location:	Dublin, Ireland
Reporting to:	Deputy Head of Compliance
Contract Type:	Full Time Permanent

Cantor Fitzgerald Ireland

Cantor Fitzgerald Ireland is part of leading global financial services firm Cantor Fitzgerald. Cantor Fitzgerald has offices and trading desks in all major financial service centres throughout the world. We specialise in equities, Investment Banking, Real Estate, Fixed Income and Currencies. Cantor Fitzgerald's affiliate businesses include: BGC Partners, Inc. (BGC), Newmark (NMRK), and GFI Group (GFI). Cantor Fitzgerald Ireland provides a full suite of investment services, primarily wealth management, fund management, debt capital markets and corporate finance. An exciting opportunity has arisen for a Senior Compliance Manager to work with our team in Dublin.

Role Summary

The Senior Compliance Manager will play a key leadership role within the Compliance function, with responsibility for the oversight, implementation, and ongoing governance of key regulatory frameworks including but not limited to:

- MIFID II Regulatory Framework
- Senior Executive Accountability Regime (SEAR)
- Digital Operational Resilience Act (DORA)
- Operational Resilience & Outsourcing Frameworks
- Remuneration Framework

The role holder will act as a subject matter expert, ensuring the firm maintains robust, forward-looking compliance frameworks aligned with Central Bank of Ireland (CBI) expectations and EU regulatory developments.

Key Responsibilities

- Provide senior compliance advisory support to business units and senior management.
- Contribute to the development and implementation of compliance policies and procedures.
- Support regulatory inspections from the Central Bank of Ireland and Internal Audits.
- Develop and execute compliance monitoring plans in relevant areas.
- Identify, assess, and escalate compliance risks.
- Track and oversee remediation actions
- Prepare and deliver reports to the Chief Compliance Officer for:
 - Executive Committee
 - Audit and Compliance Committee
 - Board

Skills and Experience

- Minimum 6 years' experience in a compliance or regulatory role within financial services
- Strong stakeholder management and influencing skills.
- Excellent written and verbal communication.
- Analytical mindset with strong attention to detail.
- Ability to operate at both strategic and operational levels.
- High level of integrity and professional judgement.

Technical Knowledge

Deep understanding of:

- MiFID II & CPC regulatory framework
- Central Bank of Ireland regulatory expectations
- EU regulatory landscape

Familiarity with relevant guidance such as:

- CBI SEAR/IAF framework
- ESMA remuneration guidelines
- EU DORA regulation
- MIFID II requirements

Familiarity with the EU AI Act is preferable.

Personal Attributes

- Proactive and solution oriented.
- Ability to work in a fast-paced regulatory environment.
- Strong leadership and mentoring capability.
- Comfortable engaging with senior management and regulators.

Qualifications

- Relevant third-level qualification (Finance, law, business or related discipline)
- LCI qualification or willingness to obtain

This is a Control Function (CF2) role as per the Central Bank of Ireland Fitness & Probity Standards.

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