

## Sustainability Preferences (PLEASE USE BLOCK CAPITALS)

Please consider your answers carefully as this will allow us to tailor your portfolio to your specific Sustainability Preferences where available. Please note that if you do not have any sustainability preferences, you do not need to answer the remaining questions. It is important to note that the percentages provided for question 2 and 3 are cumulative and therefore the total of both answers cannot be greater than 100%.

### 1. Do you have sustainability preferences for your investments?

- No, I am only interested in the financial aspects of the investment. (No further answers are required)
- I don't know. I would need to give sustainability preferences more consideration. (Please consult with your Cantor Relationship Manager or Financial Advisor)
- Yes, I am interested in the sustainability attributes as well as the financial aspects of investments (Please proceed to Questions 2 – 4)

### 2. To what minimum extent do you want Environmentally Sustainable investments (i.e. make a substantial contribution towards an environmental objective) to form part of our recommendations to you and therefore your portfolio?

Generally speaking, for a business or business activity or product to be considered environmentally sustainable, it must:

- Contribute substantially to one or more of certain specified environmental objectives;
- Not significantly harm any of those environmental objectives
- Be carried out in compliance with certain minimum social safeguards; and
- Comply with technical screening criteria established by the EU Commission (the 'EU Taxonomy')

Please choose a percentage band:  0 - 25%  26% - 50%  51% - 75%  76% - 100%

### 3. To what minimum extent do you want Sustainable Investments to form part of our recommendations to you and therefore your portfolio?

By Sustainable Investments we mean an investment in business that contributes to an environmental and/or social objective. This objective should be measurable, for example, a key resource efficiency indicator on the use of energy, renewable energy, raw materials, water and land, on the production of waste, and greenhouse gas emissions. It could measure the impact on biodiversity and the circular economy. It could be an investment in a business that contributes to a social objective, for example tackling inequality or that fosters social cohesion, social integration and labour relations, or an investment in human capital or economically or socially disadvantaged communities. This is provided that such investments do not significantly harm any of those objectives and that the investee companies follow good governance practices, in particular with respect to sound management structures, employee relations, remuneration of staff and tax compliance.

Please choose a percentage band:  0 - 25%  26% - 50%  51% - 75%  76% - 100%

### 4. To what extent are the below grouped Principal Adverse Impact important to you?

A Principal Adverse Impact (PAI) is the impact of your investment decision; by reference to the business activity of or the actual entity which issues the security which you purchase. A PAI refers to the negative effect on sustainability factors, such as environmental, social and employee concerns, respect for human rights, anti-corruption, and anti-bribery matters by an entity in which you invest, by virtue of its direct actions and such effect therefore in part facilitated by your investment.

A. Climate Change	B. Environmental	C. Social And Employee Matters	D. Governance
Greenhouse Gas Emissions	Activities that negatively affect bio-diversity-sensitive areas	Adherence to the UN Global Compact	Respect for Human Rights
Carbon Footprint	Emissions to Water	Unadjusted Gender Pay Gap	Anti-Corruption Matters
Greenhouse Gas Intensity	Hazardous Waste and radioactive waste ratio	Board Gender Diversity	Anti-Bribery Matters
Exposure to Companies active in the fossil fuel sector		Exposure to Controversial Weapons	

Please continue to next page

# Sustainability Preferences



## Sustainability Preferences (PLEASE USE BLOCK CAPITALS) continued

**A. To what extent are Climate Change Principal Adverse Impacts Important to You?**

Not Important    Maybe Important    Somewhat Important    Important    Very Important

**B. To what extent are Environmental Principal Adverse Impacts Important to You?**

Not Important    Maybe Important    Somewhat Important    Important    Very Important

**C. To What Extent are Social and Employee Principal Adverse Impacts Important To You?**

Not Important    Maybe Important    Somewhat Important    Important    Very Important

**D. To What Extent are Governance Principal Adverse Impacts Important To You?**

Not Important    Maybe Important    Somewhat Important    Important    Very Important

**Note: Please notify us as soon as possible of any material change in any information given above.**

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