

Job Description

Title: Financial Crime Compliance Analyst
Location: Dublin, Ireland
Reporting to: Deputy Head of Financial Crime Compliance
Contract Type: Full time – Permanent

Cantor Fitzgerald

Cantor Fitzgerald Ireland is part of the leading global financial services firm of Cantor Fitzgerald. Cantor Fitzgerald has offices and trading desks in all major financial service centres throughout the world. We specialise in equities, Investment Banking, Real Estate, Fixed Income and Currencies. Cantor Fitzgerald's affiliate businesses include: BGC Group Inc. (BGC), Newmark (NMRK), and GFI Group (GFI). Cantor Fitzgerald Ireland provides a full suite of investment services, primarily wealth management, fund management, debt capital markets and corporate finance. An exciting opportunity has arisen for a Financial Crime Compliance Analyst to work with our team in Dublin.

Role Summary

The Financial Crime Compliance Analyst will report to the Deputy Head of Financial Crime Compliance and support the Financial Crime Compliance Manager and Client Legal Affairs Manager. They will be responsible for carrying out periodic testing in line with the Compliance monitoring plan. Testing will include a variety of Financial Crime Compliance activities such as AML, CFT, Sanctions, Anti-Bribery & Corruption, Transaction Monitoring as well as some key investor protection areas under MIFID II. The Analyst will have the opportunity to interact with a wide range of business stakeholders in the role and provide Financial Crime feedback and recommendations. The Analyst will also provide Financial Crime Support to other entities within the Irish group.

Key Responsibilities

- Execute monitoring tasks in line with the Financial Crime Compliance Monitoring Plan
- Prepare output reports detailing observations, findings, and recommendations for review.
- Issuance of final reports to relevant first line functions and ensure findings are remediated where needed and brought to resolution.
- Present findings and recommendations at the quarterly monitoring meeting
- Prepare relevant management information for periodic Committee and Board reporting.
- Assist the Financial Crime Compliance Team in review and updating of policies and procedures.
- Supporting other group entities with their Financial Crime programs.
- Assist in the delivery of training programme for the first line of defence.
- Assist in preparing regulatory responses to the Central Bank of Ireland.
- Assist with queries escalated to the Financial Crime Compliance Team from the 1st Line.
- Review supporting documentation for PEP and High-Risk clients.
- Give support in Financial Crime investigations both AML & Fraud.
- Give support to the Client Legal Affairs Function as and when required.

Skills & Competencies

- Has a “can do” attitude and takes ownership of an issue from start to finish.
- Offers practical advice to the business.
- Uses experience, knowledge, and judgement to influence and deliver upon objectives.
- Builds a sense of teamwork on his or her team and within/between groups.
- Strives to improve him/herself and the Firm and actively seeks feedback from peers and management.
- A willingness to grow within the Firm and to take on new responsibilities as required.

Requirements

- Business, Financial, or legal qualification third level qualification preferable.
- Microsoft word, excel and PowerPoint skills essential.
- Experience in Customer Due Diligence, AML and Financial Crime either in the 1st or 2nd Line preferred.
- Experience of Compliance Monitoring / Assurance Testing preferred.
- ACOI qualification or relevant Financial Crime qualification (or agreement to work towards same).

Please note that under the Central Bank of Ireland’s Fitness and Probity Standards, this role is a Control Function 2 role - Ensuring, controlling, or monitoring *compliance* by a regulated financial service provider with its relevant obligations

Qualified applicants may submit their CV via the link below:

[Financial Crime Compliance Analyst - Cantor Fitzgerald/BGC Careers \(oraclecloud.com\)](https://oraclecloud.com)