

PART C – CREST Admission Agreement (Personal Members)

CREST Admission Agreement (Personal Members)



This CREST Admission Agreement (Personal Members) is for use by a maximum of four persons wishing to become a CREST Personal Member with the operation of the CREST membership to be carried out using the services of another person as sponsor.

This CREST Admission Agreement (Personal Members) (the “**Admission Agreement**”) is made the _____ day of _____⁽¹⁾ between Euroclear UK & Ireland Limited incorporated in England and Wales under number 2878738 whose registered office is at 33 Cannon Street London EC4M 5SB (“EUI”) and the person(s) named in Box 1 below.

⁽¹⁾ Please do not insert a date as this will be inserted by Euroclear UK & Ireland Limited once the agreement has been executed.

Box 1	Full name(s) of the person or persons acting as CREST Personal Member (see Notes (a) and (e))
1	Title/Suffixes: _____ Forename(s): _____ Surname: _____ Address (see Note (b)): _____ _____
2	Title/Suffixes: _____ Forename(s): _____ Surname: _____ Address (see Note (b)): _____ _____
3	Title/Suffixes: _____ Forename(s): _____ Surname: _____ Address (see Note (b)): _____ _____
4	Title/Suffixes: _____ Forename(s): _____ Surname: _____ Address (see Note (b)): _____ _____

(“**you**”).

Box 2 For completion by your CREST Sponsor

Name of Sponsor: _____

User ID of Sponsor: _____

(the “**Sponsor**”)

Box 3 For completion by EUI or the Sponsor

Specified Participant ID: _____

By signing and returning this Admission Agreement you confirm to EUI, and also to the Depository and the Custodian as regards the confirmations under points 8 and 9 below, that:

- 1 the Sponsor identified in this Admission Agreement is your CREST sponsor at the date of this Admission Agreement; you may change the identity of your CREST sponsor from time to time in accordance with the procedures set out in the CREST Personal Member Terms and Conditions;
- 2 you have read and understood the CREST Personal Member Admission Document in effect at the date of signature of this Admission Agreement and all notices of change (if any) to the CREST Personal Member Terms and Conditions, in each case as provided by your Sponsor;
- 3 you have kept a copy of the CREST Personal Member Admission Document;
- 4 you are aware that your membership will be subject to the CREST Personal Member Terms and Conditions which shall be treated as forming part of this Admission Agreement;
- 5 you have read, and by signing this Admission Agreement and becoming a CREST member, you accept and agree to comply with the provisions of the CREST Personal Member Terms and Conditions;
- 6 you are aware that the CREST Personal Member Terms and Conditions contain restrictions on, and exclusions of, liability for the benefit of EUI and certain other persons identified in the CREST Personal Member Terms and Conditions;
- 7 you understand and accept that, as and from your admission as a CREST member,
 - 7.1 you are bound by the CREST Personal Member Terms and Conditions including all Schedules as in force from time to time; and
 - 7.2 such terms will apply to your participation in the International Links Service (including where, for example, you automatically participate in the service as a result of a corporate action outcome that you may not be aware of and which cannot be prevented by your Sponsor);
- 8 your Sponsor is (pursuant to clause 5.12 of the CREST Personal Member Terms and Conditions) authorised to receive, on your behalf, all future communications concerning the CREST Personal Member Terms and Conditions, the Deed Poll for the International Links Service and any other communications;
- 9 you understand that changes to the CREST Personal Member Terms and Conditions and the Deed Poll will be binding upon you whether or not you receive notice of them from your Sponsor; and
- 10 you are at least 18 years of age.

Box 4 Signed by the person(s) acting as CREST Personal Member (see Notes (e))

1 Name (please print): _____

Date): _____

Signature: _____

2 Name (please print): _____

Date): _____

Signature: _____

3 Name (please print): _____

Date): _____

Signature: _____

4 Name (please print): _____

Date): _____

Signature: _____

Notes

- (a) The maximum number of persons who together may act as a Personal Member is four; all such joint members must sign the Admission Agreement. Please state your title (using either Mr, Miss, Mrs, Ms, Esq or your professional title, e.g. Dr.); full forenames; and full surname.
- (b) If the personal membership includes more than one person, only one address can be given for the purpose of this Admission Agreement. The address must be the address where the relevant person has his habitual residence. The address will also be entered on the registers of securities, it will be the address to which EUI may send written communications and notices and will be the address available to the participating issuers when communicating directly with you.
- If the address given by you as your address is outside the United Kingdom, certain further formalities are required before you can be admitted as a Personal Member. EUI will contact you regarding these.
- (c) English law shall be taken by EUI as the basis for the establishment of relations with you prior to the CREST Personal Member Terms and Conditions becoming a binding contract between you and EUI.
- (d) You have the right to cancel your CREST membership during the period of fourteen days commencing the day after the day on which EUI accepts you as a member, provided that you do not use your CREST membership during this cancellation period. Please see clause 13.1 of the CREST Personal Member Terms and Conditions for full details of your cancellation rights.
- (e) CREST Rule 1, paragraph 10 sets out the details on how EUI uses personal information and what you have to do if you disclose personal information relating to a third person.

In summary:

EUI may receive, collect and process personal data which relates to persons applying to participate in the CREST system, users and participants. Your personal data may be used to process your application, to manage your accounts, to provide requested services to you, to contact and communicate effectively with you and for other related purposes.

In some cases, your personal data may be shared with other entities within the Euroclear Group or its third-party service providers, some of whom may operate outside the European Economic Area in countries that do not offer a level of data protection equivalent to those in the EEA. EUI will protect any personal data that it processes and will not share it with other third parties unless required by law or court order, or when useful or necessary to provide requested services to you.

To the extent that you provide personal data relating to any other persons to EUI, it is your responsibility to furnish such person with notice regarding the purposes for which and manner by which EUI may process their personal information.

You may, at any time, request a copy of your personal data held by EUI and request EUI to correct any inaccuracies. To do this, please contact Data_Protection_Officers@euroclear.com who will endeavour to respond promptly.