



Cantor Fitzgerald Ireland Ltd ("Cantor")

Probate Execution Only Account

Opening Document

HOW TO PROCEED

ACCOUNT SET UP CHECKLIST:

1. Please complete the attached Probate Application Form with relevant parties signing and dating section 6.
 2. Please enclose the following:
 - A. Original or sealed copy of the Grant of Representation (i.e. Grant of Probate, Letters of Administration, etc.).
 - B. Copy/Original of all known Share Certificates (The original certificates will be required pre sale).
 - C. Please provide the below listed documents **FOR all the executors of the estate:**
 - (i) I/We have attached a certified copy of my/our ID (Passport/Drivers License) by Garda, Solicitor or Cantor member of staff - please ensure it is in date.
 - (ii) I/We have attached two certified bills or two originals of the bills listed below, issued in the last 6 months and with the same address as noted on the application. One of these **MUST** be a bank statement that matches the IBAN provided on the form if the account being paid to is in the name of the executor(s) or the estate.
(This is NOT needed if in the name of the account is the solicitors client asset account)
 - *Bank Statement (must be for the IBAN provided on the application form)*
- AND
- *Gas, electricity, phone or motor insurance certificate/home insurance certificate/tax certificate*
- OR
- *Social insurance document or Revenue Commissioners document*
- A list of acceptable/unacceptable Anti Money Laundering documents can be found on our website cantorfitzgerald.ie*

Post/Delivery Return the completed application and identification to:
DUBLIN: Cantor Fitzgerald Ireland Ltd, Cantor Fitzgerald House, 23 St Stephen's Green, Dublin 2
LIMERICK: Cantor Fitzgerald Ireland Ltd, Crescent House, Hartstonge St., Limerick
CORK: Cantor Fitzgerald Ireland Ltd, 45 South Mall, Cork

Queries/Advice Call your Financial Advisor or your Cantor Fitzgerald Account Manager:
DUBLIN: +353 1 633 3800. CORK: +353 21 422 2122. LIMERICK: +353 61 436 500
Email Queries to Client Services at ireland@cantor.com

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Probate Application Form



FOR OFFICE USE ONLY

Cantor Broker Owner

Face to Face Meeting: Yes No Nominee Certificated

Client Account Number

1. Deceased's Person Details & Estate Details (PLEASE USE BLOCK CAPITALS)

Deceased's Name

Deceased's Date of Birth

Deceased's Nationality

Deceased's Date of Death

Will Letters of Administration

2. Source of Wealth/Funds (PLEASE USE BLOCK CAPITALS)

(i) Please indicate how the overall wealth of the estate was accumulated. You can indicate more than one option.

- Savings
- Inheritance
- Equity/Fund Investment
- Property Investment
- Sale of Business
- Retirement Fund
- Redundancy
- Other: If other please specify:

(ii) Please indicate the source of the Estate's initial transfer to Cantor. You can indicate more than one option.

- Bank Deposit Account
- Transfer from other Investment Provider
- Bank Current Account
- Retirement Fund
- Other: If other please specify:

3. Executor(s) of the Estate (PLEASE USE BLOCK CAPITALS)

Executor 1

Name

Residential Address

Contact Tel Number Nationality

Executor 2

Name

Residential Address

Contact Tel Number Nationality

Executor 3

Name

Residential Address

Contact Tel Number Nationality

Executor 4

Name

Residential Address

Contact Tel Number Nationality

Please copy this page and attach details of Executors if there are more than 4 appointed.

4. Payment Details (PLEASE USE BLOCK CAPITALS)

We, the executors hereby acknowledge that Solicitors are acting on our behalf in relation to the above named estate.

Please choose the required option below and complete all details required:

We, the executors hereby select the following options for the proceeds of the sale of the estate's shareholdings:

Option 1: Executor(s) - Bank Transfer

Please note that a proof of ID and 2 proofs of address (as detailed on the cover page) are required for all executors.

The proceeds can be transferred to the bank account in the name of all executors or 1 selected executor.

Please transfer the proceeds to the account in the name of:

who are noted executor(s) for the estate.

Account Name

Bank Name

Bank Address

IBAN BIC

As per the account set up checklist on the front page, a bank statement for the bank details provided is NOT needed if the proceeds are being transferred to a client asset account of the solicitor.

Option 2: Solicitor - Bank Transfer

Please note that the payment will be transferred to the solicitors client asset account stated below quoting deceased's name as a payment reference.

BANK DETAILS

Account Name

Bank Name

Bank Address

IBAN* BIC

*IBAN includes account number and sort code and is an international standard for identifying bank accounts across national borders. This is detailed on the bank statement.

Option 3: Executor(s) New Account - Retain shares in the name of one or more Executor

New account to be opened in the name of the below:

1. Name

2. Name

Please complete new account opening document in the name of the Executors who will retain the shares (max 2) and attach supporting documents required for new accounts.

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5. Solicitor's Section (PLEASE USE BLOCK CAPITALS)

Solicitors Account Number

(i) We, Solicitors request that Cantor Fitzgerald open an account where relevant on behalf of the above executors for the purpose of the administration of the estate's shareholdings.

6. Acknowledgement

In signing this document it will constitute a contract, both legally binding and enforceable. If you have any queries about any aspect of the account opening documentation please contact Cantor for clarification or, if you think it appropriate to do so, obtain independent legal advice.

The undersigned hereby acknowledges that:

- (a) I/We have carefully read, acknowledge and understand the terms of the below listed documentation which I/we have been presented with and have had an opportunity to consider. I/We hereby agree that by signing this acknowledgement that I/we will be bound by all terms and conditions contained in the following documents:
 - 1. Probate Application Form
 - 2. Terms and Conditions booklet:
 - i. Terms and Conditions of Service
 - ii. Conflicts of Interest Policy
 - iii. Order Execution Policy
 - iv. Information on Financial Instruments
- (b) The service description set out in the Terms and Conditions booklet incorporates the service to be provided by Cantor to me/us in its entirety, and for which I/we now hereby apply.
- (c) The details provided in the Probate Form are a complete and accurate record of all information relevant and necessary to allow Cantor to provide the service to me/us.
- (d) I/We acknowledge that Cantor will arrange for a nominee account to be opened with Pershing Securities International Limited on my/our behalf to process the proceeds based on the Executors expressed wishes.
- (e) I/We have been presented with and have had an opportunity to consider the **Client Asset Key Information Document** and I/We have carefully read, acknowledge and understand the arrangements Cantor have put in place for holding client assets and the risks associated with them.
- (f) I/We confirm that I/we have been formally appointed Executors of the Estate noted on Probate and are in full agreement as to the options chosen in order to process the deceased's estate.

Executor Acceptance

	PRINT NAME	SIGNATURE	DATE SIGNED
EXECUTOR 1:	<input type="text"/>	<input type="text"/>	<input type="text" value="DD"/> <input type="text" value="MM"/> <input type="text" value="YY"/>
EXECUTOR 2:	<input type="text"/>	<input type="text"/>	<input type="text" value="DD"/> <input type="text" value="MM"/> <input type="text" value="YY"/>
EXECUTOR 3	<input type="text"/>	<input type="text"/>	<input type="text" value="DD"/> <input type="text" value="MM"/> <input type="text" value="YY"/>
EXECUTOR 4	<input type="text"/>	<input type="text"/>	<input type="text" value="DD"/> <input type="text" value="MM"/> <input type="text" value="YY"/>
	PRINT NAME	AUTHORISED PERSON'S SIGNATURE	DATE SIGNED
SOLICITOR:	<input type="text"/>	<input type="text"/>	<input type="text" value="DD"/> <input type="text" value="MM"/> <input type="text" value="YY"/>

CANTOR FITZGERALD - DUBLIN: Cantor Fitzgerald House, 23 St Stephen's Green, Dublin 2, D02 AR55. Tel : +353 1 633 3800. Fax : +353 1 633 3856.
CORK: 45 South Mall, Cork, T12 XY24. Tel: +353 21 422 2122. **LIMERICK:** Crescent House, Hartstonge St., Limerick, V94 K35Y. Tel: +353 61 436500.

email : ireland@cantor.com web : www.cantorfitzgerald.ie

Cantor Fitzgerald Ireland Ltd is regulated by the Central Bank of Ireland and is a member firm of Euronext Dublin and the London Stock Exchange.