

MERRION INVESTMENT TRUST (THE "TRUST")  
CLASS A UNITS (THE "units")  
**APPLICATION FORM**

Address: Merrion Investment Managers, Cantor Fitzgerald House, 23 St Stephen's Green, Dublin 2, D02 PR50.

Application Must Include:

1. Certified copy of Revenue Approval Letter, confirming "Exempt Approval"
2. Certified copy of relevant Trust Deed—signed by two trustees as true copy
3. List of persons and sample signatures authorised by the client from whom Merrion Investment Managers may accept instructions— certified by two trustees

Investor Information:

Scheme Name:	
Revenue Approval Number:	
Address:	
Contact Person:	
Telephone:	
Email Address:	

Initial Amount to be invested:

EUR	
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Investment Choice:

Managed Fund	€	Global Equity Fund	€
Fixed Interest Fund	€	Cash Fund	€
Irish Equity Fund	€	Technology Fund	€
Ethical Fund	€	Long Bond Fund	€
High Alpha Fund (RIAIF)*	€	Ethical Global Equity Fund	€
Multi Asset 30 Fund	€	Multi Asset 50 Fund	€

\*The High Alpha Fund (RIAIF) is open for daily dealing.

Please provide the Bank details of the Trustee Bank Account to be used for Redemptions. If provided with differing details to what is recorded below, Merrion Investment Managers must be sent a letter confirming the new Bank Details and it has to be signed off by two Trustees.

Bank:	
SWIFT Code:	
IBAN:	
Account Name:	

**REVENUE STATUS DETAILS:**

**Revenue number:** \_\_\_\_\_

We authorise you to submit details of our application to the Revenue Commissioners. We undertake to furnish any information required by you or by the Revenue Commissioners. We authorise the Revenue Commissioners to inform you should we cease to have qualified investor status for the purposes of the trust. We understand that we are bound to indemnify the Trustee, the Manager and other Unit Holders for any tax to which they may be assessed in consequence of our not being or ceasing to be a qualified investor.

**Merrion Investment Trust—Terms and Conditions:**

By completing and delivering an Application Form the applicant(s):

- Offers to subscribe the amount shown in the Application Form (for the classes of shares specified in the Application Form) on and subject to the terms and conditions set out in the Prospectus and subject to the Trust Deed;
- Agrees that all applications, acceptances of applications and contracts resulting there from shall be governed by and construed in accordance with the laws of Ireland;
- Agrees that the Manager may reject any application in whole or in part, where evidence of qualified investor status has not been provided;
- Agrees that they shall not be entitled at any time after acceptance of the application to exercise any remedy of rescission for misrepresentation;
- Confirms that in making such application they are not relying on any information or representations in relation to the Trust other than those contained in the Prospectus and accordingly that no person responsible solely or jointly for the Prospectus or any part thereof shall have any liability for any such other information or representations. The information set out in the prospectus is not investment advice. That information is also not any form of discretionary investment management or asset management arrangement between them and the Investment Manager;
- Warrants and represents that they have observed and complied with all requirements and obtained all consents required for this application to be made in respect of any jurisdiction to which they may be subject;
- Warrants that, if they sign the Application Form on behalf of somebody else or on behalf of a corporation, they have the due authority to do so and the person(s) on whose behalf he signs are of full age and capacity under the laws of Ireland; and
- Acknowledges that the Manager is providing an execution only service and that they are free to choose whether or not to use any information provided by the Manager. The Manager is not required to assess the suitability of the investment for them. The Manager is not responsible for any loss that they may suffer as a result of the investment choices made by them.
- Acknowledges that the Manager may from time to time be required to process our personal data (including, for example, any information from which we may be identified). The Manager will process such data in accordance with the Manager’s data privacy notice (enclosed) and all applicable data protection law, including the General Data Protection Regulation (Regulation (EU) 2016/679).

Trustee Signature:	Trustee Signature:
Trustee Name:	Trustee Name:
Trustee Signature:	Trustee Signature:
Trustee Name:	Trustee Name:
Date:	

## AUTHORISED SIGNATORY SCHEDULE

**Scheme Name:** \_\_\_\_\_

**Date:** \_\_\_\_\_

Name(s):	Position(s) <i>(e.g. Trustee, Administrator)</i>	Signature(s):

**Name(s) & Phone Number(s) of main contact(s):**

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**This document supersedes any previous signatory schedule supplied by Merrion Investment Managers. Please detail any specific requirements for authorising investment instructions below.**

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# DATA PRIVACY NOTICE

*This notice sets out how Merrion Investment Managers will process personal information as a Data Controller*

Where your details are provided to Merrion Investment Managers, please note the following:

## **Purposes of Processing and Legal basis for processing**

Merrion Investment Managers may process your personal information for the following purposes:

- Managing your investment in any of our funds and/or managing any Investment Portfolio under agreement with us (the “Services”);
- Merrion Investment Managers will itself (or through a third party e.g. Fund Administrator, Portfolio Custodian) process certain information about you or your directors, officers and employees and your beneficial owners (if applicable) in order to carry out anti-money laundering (AML) checks, and related actions, requirements which Merrion Investment Managers considers appropriate to meet any legal obligations imposed on Merrion Investment Managers;
- To report tax related information to tax authorities in order to comply with a legal obligation;
- To update and maintain records and for investment reporting, servicing and fee billing;
- To carry out statistical analysis and market research;
- To provide you with information about products and services which may be of interest to you or to the institution you represent; and

Where processing is necessary to perform a relevant contract with you, comply with legal obligations and/or which is necessary for Merrion Investment Managers legitimate interests indicated above.

## **Recipients of Data and International Transfer of Data**

Merrion Investment Managers may disclose your personal information as follows:

- To Merrion Investment Managers affiliates and third party vendors in order to process the data for the above mentioned purposes;
  - To credit reference agencies in order to carry out money laundering and identity checks and comply with legal obligations;
  - To competent authorities (including regulatory & tax authorities), courts and bodies as required by law or requested or to affiliates for internal investigations and reporting; and
- The disclosure of personal information to the affiliates and other third parties set out above may involve the transfer of the data to jurisdictions outside the European Economic Area (EEA). Such countries may not have the same data protection laws as your jurisdiction.

## **Retention period**

Merrion Investment Managers will retain your personal information for as long as is required to perform the services, or the service to the institution you represent, and/or for the purposes for which the data was collected, depending on the legal basis for which that data was obtained and/or whether additional legal/regulatory obligations mandate that Merrion Investment Managers retains your personal information.

## **Data Subject Rights**

You have the following rights, in certain circumstances, in relation to your personal information:

- Right to access your personal information.
- Right to rectify your personal information.
- Right to restrict the use of your personal information.
- Right to request that your personal information is erased.
- Right to object to processing of your personal information.
- Right to data portability (in certain specific circumstances).

Where you have provided your consent to processing (e.g. to receive information about products and services which may be of interest to you or to the institution you represent), you may withdraw your consent at any time by contacting Merrion Investment Managers by email at [info@merrion-investments.ie](mailto:info@merrion-investments.ie)

Where Merrion Investment Managers requires your personal information to comply with AML, tax, regulatory or other legal requirements, failure to provide this information means Merrion Investment Managers may not be able to provide the Services or the Services to the institution you represent.

You have the right to lodge a complaint with a supervisory authority.

## **How to contact us**

If you have any questions about our use of your personal information, please contact us at [info@merrion-investments.ie](mailto:info@merrion-investments.ie)