

# Key Investor Information

This document provides you with key investor information about this Fund. It is not marketing material. The information is required by law to help you understand the nature and the risks of investing in this Fund. You are advised to read it so you can make an informed decision about whether to invest.

## Green Effects NAI-Werte Fonds (the Fund) (ISIN: IE0005895655) a sub-fund of Green Effects Investment plc (the Company)

### Objectives and Investment Policy

**Objective:** The investment objective of the Fund is to achieve long term capital appreciation through investment in ethically and ecologically oriented and socially sustainable stocks.

**Investment Policy:**

The Fund is actively managed by reference to the Natur-Aktien-Index (the **NAI Index**).

The Fund will only invest in stocks which are included in the NAI Index but the weightings given to the relevant stocks by the Investment Manager may vary from that of the NAI Index.

The NAI Index is currently comprised of 30 stocks:

- which are diversified by countries and industry segments;
- at least 75% of which consist of companies with an annual turnover of more than 100 million US Dollars;
- up to 25% of which consist of companies which are active in the development of ecologically innovative products but which have not yet reached an annual turnover of 100 million US Dollars (pioneers); and
- which are expected to provide long term returns.

The stocks of all the issuers constituting the NAI Index are listed on recognised stock exchanges in the EU, Japan and North America.

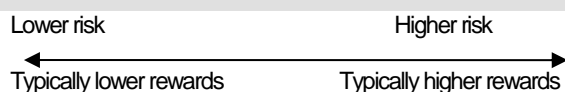
**Other information:**

The Investment Manager will not track the NAI Index but merely use the NAI Index as a source of ethically-sound stocks.

- In investing in stocks on the NAI Index, the Investment Manager favours an approach which takes cognisance of the market capitalisation or free float of the stocks
- Exchange traded and over the counter derivatives may be used. Refer to the prospectus (**Efficient Portfolio Management** section) for more details.
- You should view your investment in the Fund as medium term to long term.
- You can buy or sell your shares in the Fund by submitting an application to the Fund's administrator before 4pm (Dublin time) or 5pm (Hamburg time) on any business day.

For full investment objective and policy details please refer to the **INVESTMENT OBJECTIVE AND POLICIES** sections in the Fund's prospectus.

### Risk and Reward Profile



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The risk and reward category shown is based on historic data.

- Historic figures are only a guide and may not be a reliable indicator of what may happen in the future.
- As such this risk category may change in the future.
- The higher the category, the greater the potential reward, but also the greater the risk of losing the investment. Category 1 does not indicate risk free investment.
- The risk indicator for this Fund is set at 5 (high) reflecting the typically more volatile nature of a fund investing in securities of companies as these price movements tend to be more volatile than bonds or other fixed income securities.
- Adverse movements in exchange rates could result in a loss to the Fund.

The indicator opposite does not take account of the following risks of investing in the Fund:

- The NAI Index is not a typical securities index and the performance of the NAI Index will not necessarily reflect the performance of other indices.
- The Fund's performance may not mirror the performance of the NAI Index because of the different weightings given to the relevant stocks by the Investment Manager.
- While the Base Currency of the Fund is the Euro, the assets of the Fund will not all be denominated in Euros and accordingly changes in exchange rates between the currencies of denomination of the assets of the Fund and the Euro may also cause the value of the Shares in the Fund to diminish or increase.
- The Fund may use financial techniques to try to reduce the overall risk of its investments or reduce the cost of investing, although this may not be achieved.

The Fund operates in a risk managed, constrained manner with risk limits in place that limit the Fund's ability to significantly deviate from the NAI Index.

A more detailed description of the risk factors applicable to the Fund is set out in the **RISK FACTORS** sections in the Fund's prospectus.

## Charges for this Fund

The charges you pay are used to pay the costs of running the Fund, including the costs of marketing and distributing it. These charges reduce the potential growth of your investment.

One-off charges taken before or after you invest	
Entry Charge	Up to 4%
Exit Charge	0%*
The Entry Charge is the maximum that might be taken out of your money before it is invested.	
Charges taken from the Fund over a year	
Ongoing charges	1.43%
Charges taken from the fund under certain specific conditions.	
Performance fee	None

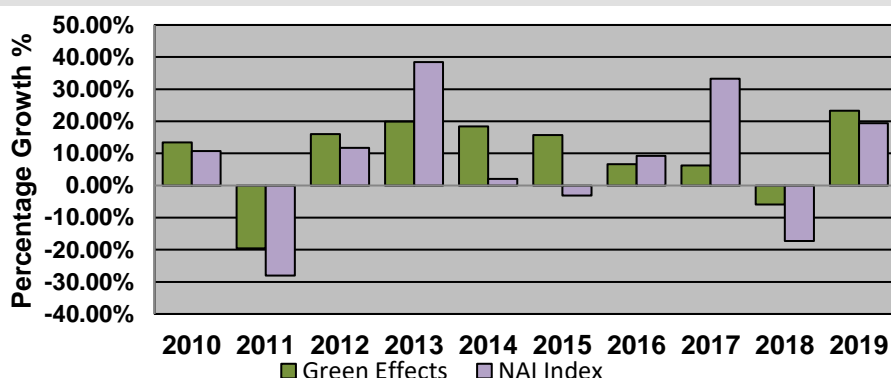
The **entry** and **exit charges** shown are maximum figures and these charges are discretionary.

\*Under normal circumstances no exit charge fee will be charged, however, an exit charge of up to 1% may be charged at the sole discretion of the directors.

The **ongoing charges** figures are based on expenses for the year ending 30 November 2019. This figure may vary from year to year. It excludes portfolio transaction costs.

For more information about charges, please see the **Fees and Expenses** sections of the prospectus issued in relation to the Fund which is available at [www.greeneffects.ie](http://www.greeneffects.ie)

## Past Performance



- The Fund was launched on 7 September 2000.
- Performance is calculated in Euro.
- Past Performance is not a guide to future performance.
- Past Performance takes into account all charges except entry/exchange/exit charges.

## Practical Information

- The Fund's depository is Northern Trust Fiduciary Services (Ireland) Limited.
- Copies of the prospectus and the latest annual and semi-annual reports for the Company may be obtained free of charge from Northern Trust International Fund Administration Services (Ireland) Limited, Georges Court, 54-62 Townsend Street, Dublin 2, Ireland. These documents are available in English.
- The last published prices of shares in the Fund and other information on the Fund, including how to buy or sell shares, are available from [www.greeneffects.ie](http://www.greeneffects.ie) or, during normal business hours, from Northern Trust International Fund Administration Services (Ireland) Limited, Georges Court, 54-62 Townsend Street, Dublin 2, Ireland.
- Each investor's local tax legislation may have an impact on your personal tax position.
- The Company may be held liable solely on the basis of any statement contained in this document that is misleading, inaccurate or inconsistent with the relevant parts of the prospectus in respect of the Fund. The Company is an umbrella fund with segregated liability between its sub-funds.

The Fund is authorised in Ireland and regulated by the Central Bank of Ireland.  
This key investor information is accurate as at 19 February 2020.